

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

lication of

Docket No: Q62563

Seiji UMEMOTO, et al.

Appln. No. 09/756,792

Confirmation No.: 6553

Group Art Unit: 2872

Examiner: Not Yet Assigned

TECHNOLOGY CENTER 2800

Filed: January 10, 2001

For:

OPTICAL PATH CHANGING POLARIZER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

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INFORMATION DISCLOSURE STATEMENT U.S. Appln. No. 09/756,792

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

English language abstracts of JP 11-142618 and JP 2000-147499 are being submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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Date: June 25, 2002

Registration No. 43,042

Attorney Docket No.: Q62563

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U.S. PATENT DOCUMENTS								
Examiner	Cite No.1	Document N	umber	Publication Date MM-DD-YYYY				
Initials*		Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document			
in		US 2001/0012158	A1	08-09-2001	UMEMOTO et al.			
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FOREIGN PATENT DOCUMENTS								
Examiner	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or		
Initials*		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
<i>divu</i>		EP	0 867 747	A2	09-30-1998	Yin Bao YANG et al.		
ana		JР	11-142618		05-28-1999	Koretoshi ISHAMARU	Abstract	
a via		JP	2000-147499		05-26-2000	Shinichi KOMURA et al.	Abstract	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
			 		
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Examiner Signature	Climber V. amou	Date Considered	11/	14/6	<u>)</u>
					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.